

## 1 **UV-C irradiation is highly effective in inactivating SARS-CoV-2 replication**

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3 Mara Biasin<sup>1</sup>, Andrea Bianco<sup>2</sup>, Giovanni Pareschi<sup>2</sup>, Adalberto Cavalleri<sup>3</sup>, Claudia Cavatorta<sup>3</sup>, Claudio  
4 Fenizia<sup>1,6</sup>, Paola Galli<sup>2</sup>, Luigi Lessio<sup>4</sup>, Manuela Lualdi<sup>5</sup>, Enrico Tombetti<sup>1</sup>, Alessandro Ambrosi<sup>6</sup>, Edoardo Maria  
5 Alberto Redaelli<sup>2</sup>, Irma Saulle<sup>1,7</sup>, Daria Trabattoni<sup>1</sup>, Alessio Zanutta<sup>2</sup>, Mario Clerici<sup>7,8,\*</sup>

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7 <sup>1</sup> *Department of Biomedical and Clinical Sciences L. Sacco, University of Milano, Milano, Italy.*

8 <sup>2</sup> *Italian National Institute for Astrophysics (INAF) – Brera Astronomical Observatory, Merate, Italy.*

9 <sup>3</sup> *Epidemiology and Prevention Unit, IRCCS Foundation, Istituto Nazionale dei Tumori, Milan, Italy.*

10 <sup>4</sup> *Italian National Institute for Astrophysics (INAF) – Padova Astronomical Observatory, Padova, Italy.*

11 <sup>5</sup> *Department of Imaging Diagnostic and Radioterapy, IRCCS Foundation, Istituto Nazionale dei Tumori,*  
12 *Milan, Italy.*

13 <sup>6</sup> *University Life and health San Raffaele, Milan, Italy.*

14 <sup>7</sup> *Department of Pathophysiology and Transplantation, University of Milano, Milano, Italy.*

15 <sup>8</sup> *Don C. Gnocchi Foundation, IRCCS Foundation, Milano, Italy.*

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17 The potential virucidal effects of UV-C irradiation on SARS-CoV-2 were experimentally evaluated for  
18 different illumination doses and virus concentrations (1000, 5, 0.05 MOI). At a virus density comparable to  
19 that observed in SARS-CoV-2 infection, an UV-C dose of just 3.7 mJ/cm<sup>2</sup> was sufficient to achieve a more  
20 than 3-log inactivation without any sign of viral replication. Moreover, a complete inactivation at all viral  
21 concentrations was observed with 16.9 mJ/cm<sup>2</sup>. These results could explain the epidemiological trends of  
22 COVID-19 and are important for the development of novel sterilizing methods to contain SARS-CoV-2  
23 infection.

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## 25 **Introduction**

26 The COVID-19 pandemic caused by SARS-CoV-2 virus<sup>1</sup> has had an enormous, as yet barely understood,  
27 impact on health and economic outlook at the global level<sup>2</sup>. The identification of effective microbicide

28 approaches is of paramount importance in order to limit further viral spread, as the virus can be

29 transmitted via aerosol<sup>3,4</sup> and can survive for hours outside the body<sup>5-7</sup>. Non-contact disinfection

30 technologies are highly desirable, and UV radiation, in particular UV-C (200 – 280 nm) has been suggested

31 to be able to inactivate different viruses, including SARS-CoV<sup>8-12</sup>. The interaction of UV-C radiations with

32 viruses has been extensively studied<sup>13-15</sup>, and direct absorption of the UV-C photon by the nucleic acid basis

33 and/or capsid proteins leading to the generation of photoproducts that inactivate the virus was suggested

NOTE: This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice.

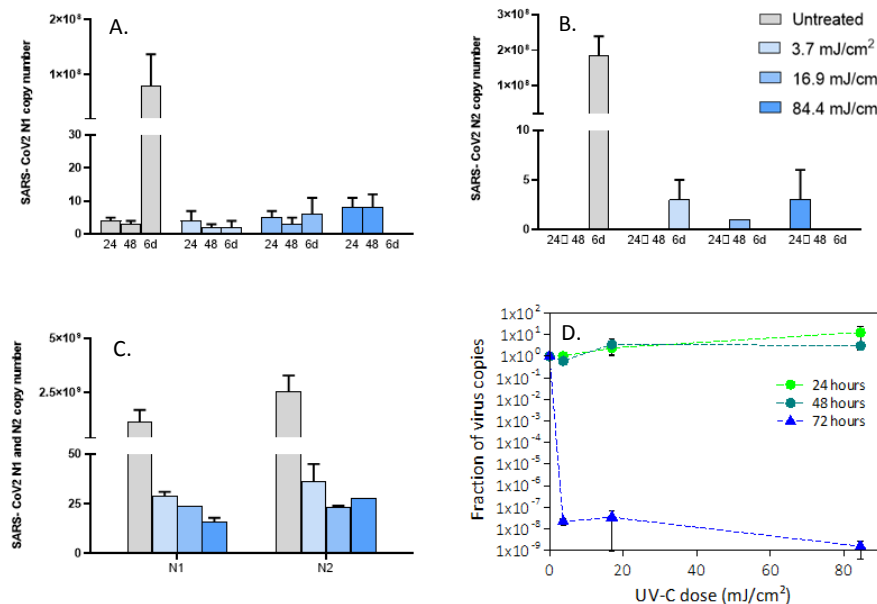
34 to be one of the main UV-C-associated virucidal mechanisms<sup>16,17</sup>. Some models have been proposed to  
35 correlate the nucleic acid structure with the required dose to inactivate the virus, but a reliable model is  
36 still unavailable<sup>18</sup>. This is also due to the fact that UV-C measurements were conducted using different  
37 viruses and diverse experimental conditions<sup>19–22</sup>. This led to an extremely wide range of values for the same  
38 virus and, e.g., in the case of SARS-CoV-1 values reported in the literature range from a few mJ/cm<sup>2</sup> to  
39 hundreds mJ/cm<sup>2</sup><sup>19,22,23</sup>. Likewise, recent papers reported values for UVC inactivation ranging from 3 to  
40 1000 mJ/cm<sup>2</sup><sup>24–27</sup>. A better understanding of the effects of UV-C on SARS-CoV-2, which take into account  
41 all the key factors involved in the experimental setting (including culture medium, SARS-CoV-2  
42 concentration, UV-C irradiance, time of exposure, and UV-C absorbance) will allow to replicate the results  
43 in other laboratory with different devices. Moreover, as recent evidences suggest that UV light from  
44 sunlight are efficient in inactivating the virus<sup>28</sup>, these measurements will be relevant for the setting up of  
45 further experiment considering the role of UV-A and UV-B on SARS-CoV-2 replication.

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## 47 **Results and discussion**

48 Herein, we report the effect of monochromatic UV-C (254 nm) on SARS-CoV-2, showing that virus  
49 inactivation can be easily achieved. Experiments were conducted using a custom-designed low-pressure  
50 mercury lamp system, which has been spectral-calibrated providing an average intensity of 1.082 mW/cm<sup>2</sup>  
51 over the illumination area (see the details reported in the Method section). Three different illumination  
52 exposure times, corresponding to 3.7, 16.9 and 84.4 mJ/cm<sup>2</sup>, were administered to SARS-CoV-2 either at a  
53 multiplicity of infection (MOI) of 0.05, 5, 1000. The first concentration is equivalent to the low-level  
54 contamination observed in closed environments (e.g. hospital rooms), the second one corresponds to the  
55 average concentration found in the sputum of COVID-19 infected patients, and the third one is a very large  
56 concentration, corresponding to that observed in terminally diseased COVID-19 patients<sup>29</sup>. After UV-C  
57 exposure, viral replication was assessed by culture-polymerase chain reaction (C-RT-PCR) targeting two  
58 regions (N1 and N2) of the SARS-CoV-2 nucleocapsid gene, as well as by analyzing SARS-CoV-2-induced  
59 cytopathic effect. Analyses were performed in the culture supernatant of infected cells at three different  
60 time points (24, 48 and 72 hours for SARS-CoV-2 at MOI 1000 and 5; 24, 48 hours and 6 days for SARS-CoV-

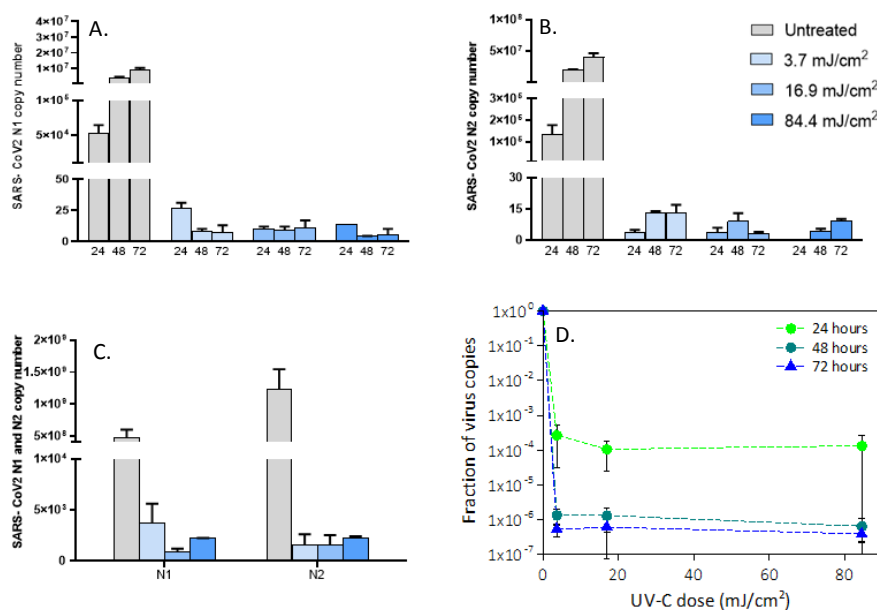
61 2 at MOI 0.05), as well as on cell lysates at the end of cellular culture (72 hours: MOI 1000 and 5; 6 days:  
62 MOI 0.05). This approach allows to follow the kinetic of viral growth and to verify whether the used dose is  
63 sufficient to completely inactivate the virus over time. This is useful from a practical point of view, when  
64 UV-C devices are used to disinfect surfaces and the environment.  
65 The effect of the UV-C exposure on SARS-CoV-2 replication was extremely evident and independent from  
66 the MOI employed; dose-response and time-dependent curves were observed. Figure 1, 2 and 3 report for  
67 different MOI the number of SARS-CoV-2 copies for the three concentrations as a function of the UV-C dose  
68 and time, quantified on a standard curve from a plasmid control. The corresponding normalised curves of  
69 the virus copies are reported in the same figures.



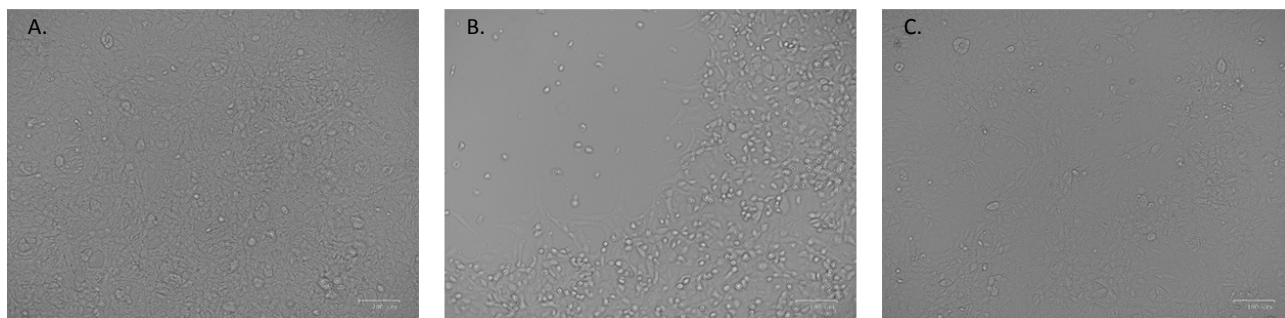
70  
71 **Figure 1. Viral replication of UV-irradiated SARS-CoV-2 (0.05 MOI) virus in in vitro VeroE6 cells.** Vero E6 cells were infected with  
72 UV-C irradiated SARS-CoV-2 virus at a MOI of 0.05. Culture supernatants were harvested at the indicated times (24, 48 hours and 6  
73 days) and virus titers were measured (Panel A, B) by absolute copy number quantification (Real-Time PCR). Viral replication was  
74 assessed even on cell lysate harvested at the end of cell cultures (6 days) (Panel C). All cell culture conditions were seeded in  
75 duplicate. Panel D reports the plots of the measured virus copies normalized at the untreated sample in the different conditions.  
76 For descriptive purposes, mean values and whiskers representing the observed half-ranges are shown.

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78 Viral replication was not observed at the lowest viral concentration (0.05 MOI) in either untreated or in UV-  
79 C-irradiated samples in the initial 48 hours (Figure 1). However, 6 days after infection, viral replication was  
80 distinctly evident in the UV-C unexposed condition, but was completely absent following UV-C irradiation

81 even at 3.7 mJ/cm<sup>2</sup> both in cell culture supernatants (Figure 1, panel A and B) and in cell lysate (Figure 1,  
 82 panel C). A two-way ANOVA analysing the effect of UV-C dose and time of incubation failed to identify a  
 83 significant effect of the UV exposure on viral replication. This is due to the fact that at very low MOI  
 84 relevant increases in N1 and N2 copy numbers were detectable only in a single condition -at six days in the  
 85 absence of UV-C exposure- thus hampering the statistical power of the analysis.  
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 88 **Figure 2. Viral replication of UV-irradiated SARS-CoV-2 (5 MOI) virus in in vitro VeroE6 cells.** Vero E6 cells were infected with UV-C  
 89 irradiated SARS-CoV-2 virus at a MOI of 5. Culture supernatants were harvested at the indicated times (24, 48 and 72 hours) and  
 90 virus titers were measured by absolute copy number quantification (Real-Time PCR, A and B). Viral replication was assessed even  
 91 on cell lysate harvested at the end of cell cultures (72 hours) (C). All cell culture conditions were seeded in duplicate. Panel D  
 92 reports the plots of the measured virus copies normalized at the untreated sample in the different conditions. For descriptive  
 93 purposes, mean values and whiskers representing the observed half-ranges are shown.  
 94  
 95 At the intermediate viral concentration (5 MOI), a significant reduction of copy number starting from the  
 96 3.7 mJ/cm<sup>2</sup> dose with a decrease of a factor of 2000 (> 3-log decrease) after 24 hours was observed (Figure  
 97 2, panel D). A two-way ANOVA confirmed that this UV-C dose significantly dampened viral replication  
 98 (p=0.000796, and P=0.000713 for N1 and N2 copies respectively). Even more important, the copy number  
 99 did not increase over time, suggesting an effective inactivation of the virus, which was further confirmed by  
 100 cytopathic effect assessment (Figure 3, panel A, B and C).



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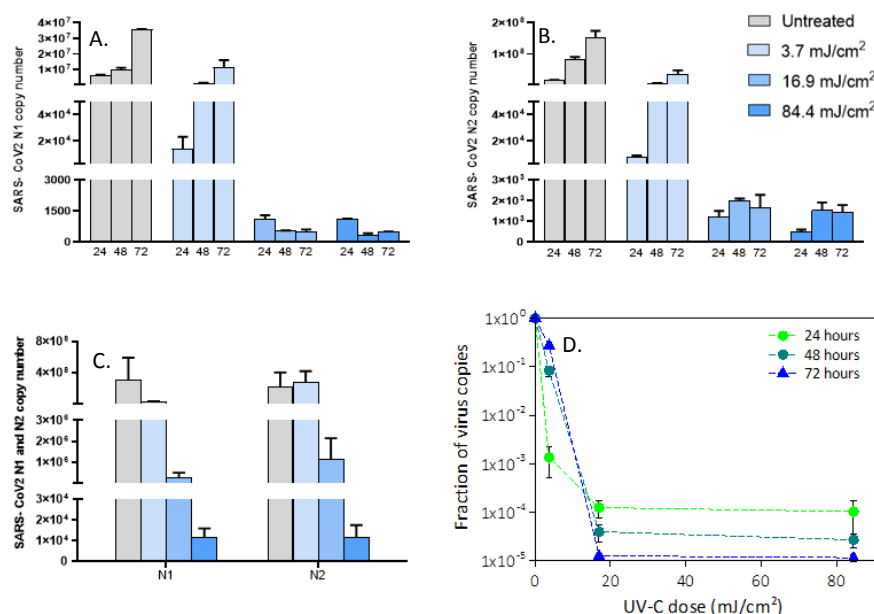
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**Figure 3. Analyses of virus induced cytopathic effect.** (A) No cytopathic effect was observed in uninfected cultured VeroE6 monolayers maintained in 50mJ/cm<sup>2</sup> UV-treated complete medium for 72 hours. (B) In vitro infection of SARS-CoV-2 (5 MOI) UV-C untreated VeroE6 cells resulted in an evident cytopathic effect. (C) SARS-CoV-2 irradiation with 3.7 mJ/cm<sup>2</sup> UV-C rescued the cytopathic effect induced by UV-C untreated virus.



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**Figure 4. Viral replication of UV-irradiated SARS-CoV-2 (1000 MOI) virus in in vitro VeroE6 cells.** Vero E6 cells were infected with UV-C irradiated SARS-CoV-2 virus at a MOI of 1000. Culture supernatants were harvested at the indicated times (24, 48 and 72 hours) and virus titers were measured (Panel A, B) by absolute copy number quantification (Real-Time PCR). Viral replication was assessed even on cell lysate harvested at the end of cell cultures (72 hours) (Panel C). All cell culture conditions were seeded in duplicate. Panel D reports the plots of the measured virus copies normalized at the untreated sample in the different conditions. For descriptive purposes, mean values and whiskers representing the observed half-ranges are shown.

Using a high viral input (MOI=1000), the two-way ANOVA confirmed that all the three UV doses analysed resulted in a significant suppression of viral replication for both N1 (3.7 mJ/cm<sup>2</sup>: p= 0.008455; 16.9 mJ/cm<sup>2</sup>: p=0.004216; and 84.4 mJ/cm<sup>2</sup>: p=0.000202) and N2 copies (3.7 mJ/cm<sup>2</sup>: p= 6.43E-05; 16.9 mJ/cm<sup>2</sup>:

119  $p=1.68E-05$ ; and  $84.4 \text{ mJ/cm}^2$ :  $p=1.68E-05$ )(Figure 4). Notably, a different course of infection was observed,  
120 in which the inhibitory effect was not accompanied by viral suppression for the UV-C dose of  $3.7 \text{ mJ/cm}^2$   
121 (Figure 4, panel A, B and D). Indeed, a relevant reduction in N1 e N2 copy numbers was observed in a UV-C  
122 dose-dependent manner as early as 24 hours (by a factor of  $10^3$  at  $3.7 \text{ mJ/cm}^2$  and  $10^4$  at  $16.9 \text{ mJ/cm}^2$ ,  
123 Figure 4, panel A, B and D), but longer culture times resulted in an increase in N1 and N2 copy numbers for  
124 the UV-C dose of  $3.7 \text{ mJ/cm}^2$ . This indicates that the residual viral input left by the  $3.7 \text{ mJ/cm}^2$  was able to  
125 replicate and sufficient to generate an effective infection. This is not the case in cultures exposed to  
126 higher UV-C doses, as no replication could be detected in these conditions. All the results were further  
127 confirmed by 2-ANOVA statistical analyses performed on viral replication at intracellular level ( $3.7 \text{ mJ/cm}^2$   
128 vs. Untreated: N1,  $p=0.008455$ ; N2:  $p=6.43E-05$ ;  $16.9 \text{ mJ/cm}^2$  vs. Untreated: N1,  $p=0.004216$ ; N2:  $p=1.68E-$   
129  $05$ ;  $84.4 \text{ mJ/cm}^2$  vs. Untreated: N1,  $p=0.004216$ ; N2:  $p=1.68E-05$ ) (Figure 1, panel C; Figure 2, panel C;  
130 Figure 4, panel C).

131 We compared our results with data available in the literature and observed that our inactivating dose is  
132 much smaller than that reported in Heilingloh et al.<sup>26</sup> ( $1000 \text{ mJ/cm}^2$  for the complete inactivation). This  
133 discrepancy is likely to be the consequence of the UV-C absorption by the medium used in Heilingloh et al,  
134 which has a 4-fold higher thickness compared to the one used in our experiments. This possibility is  
135 supported by the observation that  $200 \text{ mJ/cm}^2$  of UV-A, which is not absorbed by the medium, was  
136 sufficient to reduce viral replication of 1-log. As UV-A light is significantly less efficient (order of  
137 magnitudes) than UV-C, the reported UV-C inactivating dose ( $100 \text{ mJ/cm}^2$ ) seems to be questionable.  
138 Two other papers measured the effect of UV-C light on SARS-CoV-2. In Ruetalo et al.<sup>25</sup>, the illumination of  
139  $254 \text{ nm}$  light was employed on a dried sample of SARS-CoV-2. Complete inactivation was obtained with  $20$   
140  $\text{mJ/cm}^2$ , a value greater than ours, but in the same range. It has to be underlined that in the dried film a  
141 shielding effect by the organic component present in the liquid can occur, reducing the efficiency of the UV-  
142 C light. This was shown by Ratnesar-Shumate et al.<sup>28</sup>, who demonstrated that the dose required to obtain a  
143 similar degree of viral inactivation was twice in dried samples from gMEM compared to the ones  
144 resuspended in simulated saliva. Notably, the two mediums differ for their composition, mainly in terms of  
145 protein and solid percentage, with higher values for the gMEM.

146 Inagaki et al. used a 285 nm UV LED and showed that a dose of about 38 mJ/cm<sup>2</sup> was sufficient to  
147 completely inactivate SARS-CoV-2. This dose is greater compared to the one we established ; this  
148 discrepancy can be explained by the observation that the 285 nm is less efficient than the 254 nm  
149 wavelength<sup>24</sup>. Finally, in an elegant study Storm et al.<sup>27</sup> compared the virucidal effect of UV-C in wet and  
150 dry systems. Results were based on the use of a very small volume of viral stock in DMEM (5 µl) and  
151 showed that a dose of 3.4 mJ/cm<sup>2</sup> inactivated wet samples, whereas a dose that twice as high was needed  
152 in dried samples. These results are comparable to the ones herein, and the shielding effect in dried samples  
153 is almost evident. Such comparisons show how the experimental conditions adopted significantly impact on  
154 the definition of the dose of UV-C resulting in virus inactivation. It is therefore crucial to accurately describe  
155 all the details of the experiments to perform a reliable comparison.

156 In conclusion, we report the results of a highly controlled experimental model that allowed us to identify  
157 the UV-C radiation dose sufficient to inactivate SARS-CoV-2. The response depends on both the UV-C dose  
158 and the virus concentration. Indeed, for virus concentrations typical of low-level contaminated closed  
159 environment and sputum of COVID-19 infected patients, a very small dose of less than 4 mJ/cm<sup>2</sup> was  
160 enough to achieve full inactivation of the virus. Even at the highest viral input concentration (1000 MOI),  
161 viral replication was totally inactivated with a dose  $\geq 16.9$  mJ/cm<sup>2</sup>. These results show how the SARS-CoV-2  
162 is extremely sensitive to UV-C light and they are important to allow the proper design and development of  
163 efficient UV based disinfection methods to contain SARS-CoV-2 infection.

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## 165 **Methods**

### 166 ***In vitro* SARS-CoV-2 infection assay**

167  $3 \times 10^5$  VeroE6 cells were cultured in DMEM (ECB7501L, Euroclone, Milan, Italy) with 2 % FBS medium, with  
168 100 U/ml penicillin and 100 µg/ml streptomycin, in a 24-well plate one day before viral infection assay.  
169 SARS-CoV-2 (Virus Human 2019-nCoV strain 2019- nCoV/Italy-INMI1, Rome, Italy) at a multiplicity of  
170 infection (MOI) of 1000, 5 and 0.05 were treated with different doses of UV-C radiation (see the dedicated  
171 section) before inoculum into VeroE6 cells. UV-C-untreated virus served as positive controls. Cell cultures

172 were incubated with the virus inoculum in duplicate for three hours at 37°C and 5% CO<sub>2</sub>. Then, cells were  
173 rinsed three times with warm PBS, replenished with the appropriate growth medium and observed daily for  
174 cytopathic effect. Viral replication in culture supernatants was assessed by an Integrated Culture-  
175 polymerase chain reaction (C-RT-PCR) method<sup>30</sup> at 24, 48, and 72 hours post-infection (hpi) while infected  
176 cells were harvested for RNA collection at 72 hpi. Cell cultures from SARS-CoV-2 at 0.05 MOI were  
177 harvested 6 days post infection. RNA was extracted from VeroE6 cell culture supernatant and cell lysate by  
178 the Maxwell® RSC Instrument with Maxwell® RSC Viral Total Nucleic Acid Purification Kit (Promega,  
179 Fitchburg, WI, USA), quantified by the Nanodrop 2000 Instrument (Thermo Scientific) and purified from  
180 genomic DNA with RNase-free DNase (RQ1 DNase; Promega). One microgram of RNA was reverse  
181 transcribed into first-strand cDNA in a 20- $\mu$ l final volume as previously described<sup>31,32</sup>.

182 Real-time PCR was performed on a CFX96 (Bio-Rad, CA, USA) using the 2019-nCoV CDC qPCR Probe Assay  
183 emergency kit (IDT, Iowa, USA), which targets two regions (N1 and N2) of the nucleocapsid gene of SARS-  
184 CoV-2. Reactions were performed according to the following thermal profile: initial denaturation (95°C, 10  
185 min) followed by 45 cycles of 15 s at 95°C (denaturation) and 1 min at 60°C (annealing-extension).

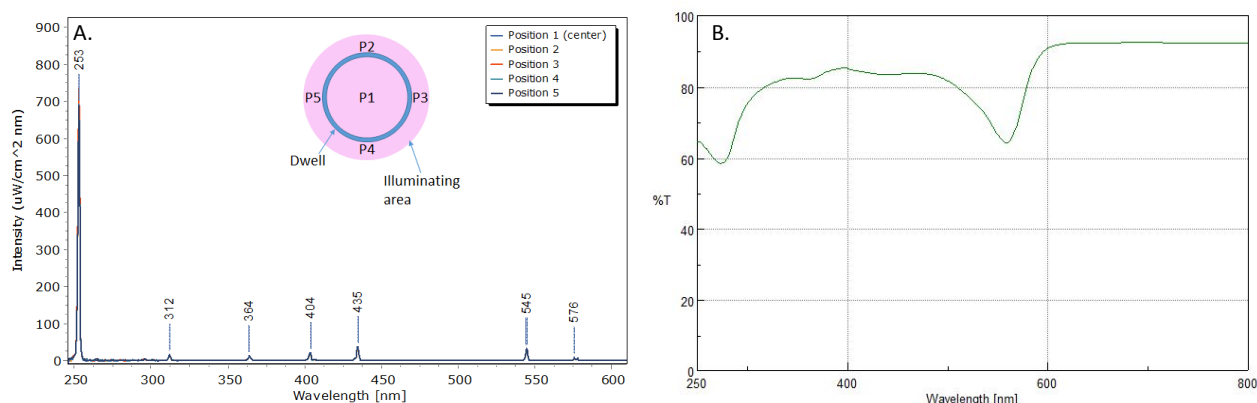
186 Viral copy quantification was assessed by creating a standard curve from the quantified 2019-nCoV\_N  
187 positive Plasmid Control (IDT, Iowa, USA).

#### 188 **UV illumination test**

189 The illumination of the virus solution was conducted using a low-pressure mercury lamp mounted in a  
190 custom designed holder, which consist in a box with a circular aperture 50 mm in diameter placed at  
191 approximately 220 mm from the source. The aperture works as a spatial filter to make the illumination of  
192 the area behind more uniform. A mechanical shutter is also present to start the illumination process. The  
193 plate is placed 30 mm below the circular aperture and a single dwell (34.7 mm in diameter), centered in  
194 respect to the 50 mm aperture, has been irradiated from the top. The dwell was filled with 0.976 ml of the  
195 virus suspended in Dulbecco's Modified Eagle's Medium (DMEM) in order to have a 1 mm thick liquid layer.  
196 After the irradiation, the sample was treated as described in the previous section.



197 The intensity of the lamp and its spectral properties have been measured using an Ocean Optics HR2000+  
198 spectrometer (Ocean Optics Inc., Dunedin, USA). The HR2000+ spectrometer was calibrated against a  
199 reference deuterium–halogen source (Ocean Optics Inc. Winter Park, Winter Park, Florida) and in  
200 compliance with National Institute of Standards and Technology (NIST) practices recommended in NIST  
201 Handbook 150-2E, Technical guide for Optical Radiation Measurements. The last calibration was performed  
202 in March 2019. The detector of our spectrometer is a high-sensitivity 2048-element Charge-Coupled Device  
203 (CCD) array from Sony. The spectral range is 200–1100 nm with a 25  $\mu\text{m}$  wide entrance slit and an optical  
204 resolution of 1.4 nm (FWHM). The cosine-corrected irradiance probe, model CC-3-UV-T, is attached to the  
205 tip of a 1 m long optical fibre and couples to the spectrometer. The intensity of the lamp has been  
206 measured by positioning the spectrometer in five positions: in the center and at the ends of a 20 mm cross  
207 arm after a warming up time of 30 s. The spectra in the five positions are reported in Figure 5 (Panel A)  
208 together with a scheme of the dwell and illuminated area.



209

210 **Figure 5.** Mercury lamp spectrum measured in the five positions (Panel A). Inset: scheme of the illuminated dwell and the measuring  
211 position. UV-vis transmission spectrum of the Dulbecco's Modified Eagle's Medium (DMEM) in a 1 mm quartz cuvette (Panel B).

212 As expected, the emission is dominated by the UV-C line (Figure 5, Panel A) and its intensity was uniform in  
213 the area with an average value of  $1.082 \text{ mW/cm}^2$ . The stability of the lamp was evaluated in  $\pm 11\text{E-}3$   
214  $\text{mW/cm}^2$  during a 130 s measurement. According to this value, three exposure times were set: 5, 23 and  
215 114 s (with an accuracy of 0.2s), which correspond to following doses: 5.4, 25.0, 123.4  $\text{mJ/cm}^2$ . This is the  
216 nominal UV doses provided to the dwell, but we were interested in the effective doses ( $D_e$ ) reaching the  
217 virus. It was necessary to calculate the effective irradiance ( $I_e$ ). This step was performed considering both

218 the reflection losses at the air/water interface ( $R_w$ ) and the Transmittance ( $T_s$ ) of the DMEM solution at 254  
219 nm (from the spectrum in figure 5, Panel B, considering the cuvette losses,  $T_s = 0.70$ ). It is important to  
220 notice that the spectrum was measured in a quartz cuvette (1 mm thick) by means of a Jasco V770  
221 spectrophotometer and this thickness was the same of the solution in the dwell during the UV irradiation  
222 step.

223 The reflection loss was computed as follow:

$$224 \quad R_w = \frac{(n_w - 1)^2}{(n_w + 1)^2} \quad (1)$$

225 Where  $n_w = 1.375$  is the refractive index of water at 254 nm. Then,  $I_e$  was calculated:

$$226 \quad I_e = I_n(1 - R_w) \times T_s \quad (2)$$

227 The final transmission of the DMEM solution was equal to 0.68 and the corresponding effective doses were  
228 derived simply multiplying  $I_e$  by the exposure time.

229 According to this value, the effective doses provided to the viruses were:  $3.7 \pm 0.15$ ,  $16.9 \pm 0.2$  and  $84.4 \pm 0.9$   
230 mJ/cm<sup>2</sup>. We have to notice that we are neglecting here the absorption of the virus at this wavelength and  
231 the possible scattering. Such approximations are valid considering the relative low concentration of the  
232 virus and small thickness of the layer (the solution appeared fully transparent).

### 233 **Statistical analyses**

234 To assess the effect of the different UV-C doses on N1 and N2 copy numbers, two-way ANOVAs were  
235 performed. For the analysis of intracellular N1 and N2 doses in the supernatant, UV-C dose and MOI  
236 represented the dependent variables, while for the analysis of N1 and N2 in the supernatant, different  
237 analyses were performed for individual MOI, using UV-C dose and time as dependent variables.

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326 **Author Contribution**

327 P.G., L.L. E.R. and A.Z. designed and produced the illumination system; A.C., C.C. and M.L. performed the  
328 lamp calibration; A.B. performed the lamp setup and lamp dosimetry, wrote the main manuscript; M.B.  
329 performed biological experiments, analyzed the data, wrote the main manuscript; C.F., I.S. designed and  
330 performed some biological tests; E.T, A.A. performed the statistical analysis; D.T. discussed the results; G.P.  
331 and M.C. supervised the study and review the manuscript.